

**FACTS****WHAT DOES Z-SQUARED SECURITIES LLC (“Z-SQUARED”) DO WITH YOUR PERSONAL INFORMATION?**

|              |  |
|--------------|--|
| <b>Why?</b>  | Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.   |
| <b>What?</b> | The types of personal information we collect and share depend on the product or service you have with us. This information can include: <ul style="list-style-type: none"> <li>▪ Social security number and financial account numbers</li> <li>▪ Income and employment information</li> <li>▪ Assets, account balances and transaction information</li> <li>▪ Investment experience</li> </ul> |
| <b>How?</b>  | All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons Z-Squared chooses to share; and whether you can limit this sharing.   |

| Reasons we can share your personal information   | Does Z-Squared share? | Can you limit this sharing? |
|--|-----------------------|-----------------------------|
| <b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus | Yes                   | No                          |
| <b>For our marketing purposes—</b> to offer our products and services to you   | Yes                   | No                          |
| <b>For joint marketing with other financial companies</b>  | No                    | We don’t share              |
| <b>For our affiliates’ everyday business purposes—</b> information about your transactions and experiences   | Yes                   | No                          |
| <b>For our affiliates’ everyday business purposes—</b> information about your creditworthiness   | No                    | We don’t share              |
| <b>For our affiliates to market to you</b>   | Yes                   | Yes                         |
| <b>For nonaffiliates to market to you</b>  | No                    | We don’t share              |

|                             |  |
|-----------------------------|--|
| <b>To limit our sharing</b> | <ul style="list-style-type: none"> <li>▪ Call 510-892-4569 our menu will prompt you through your choice(s). Email us at <a href="mailto:support@zingeroo.com">support@zingeroo.com</a></li> <li>▪ <b>Please note:</b><br/>If you are a <i>new</i> client, we can begin sharing your information 30 days from the date we sent this notice. When you are <i>no longer</i> our client, we continue to share your information as described in this notice.<br/>However, you can contact us at any time to limit our sharing.</li> </ul> |
| <b>Questions ?</b>          | Call 510-892-4569 or go to <a href="http://www.zingeroo.com">www.zingeroo.com</a> .  |

## Who we are

**Who is providing this notice?** Z-Squared Securities LLC

## What we do

**How does Z-Squared protect my personal information?** To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

For more information, please see our [Private Policy](#)

**How does Z-Squared collect my personal information?** We collect your personal information, for example, when you

- Open an account or make deposits or withdrawals from your account
- Direct us to buy securities or direct us to sell your securities

We also collect your personal information from others, such as credit bureaus, affiliates or other companies.

**Why can't I limit all sharing?** Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.

**What happens when I limit sharing for an account I hold jointly with someone else?** Your choices will apply to everyone on your account.

## Definitions

**Affiliates** Companies related by common ownership or control. They can be financial and nonfinancial companies.  
Our affiliate is Zingeroo, Inc. and it is a nonfinancial company.

**Nonaffiliates** Companies not related by common ownership or control. They can be financial and nonfinancial companies.  
Nonaffiliates we share personal information with can include service providers and data processors. Z-Squared does not share with nonaffiliates so they can market to you.

**Joint marketing** A formal agreement between nonaffiliated financial companies that together market financial products or services to you.  
Z-Squared does not jointly market.

## Other important information

**For Vermont Residents.** In accordance with Vermont law, we will not share information we collect about Vermont residents with companies who are not affiliates, except as permitted by law, such as with your consent or to service your accounts

**For California Residents.** In accordance with California law, we will not share information we collect about you with nonaffiliates, except as allowed by law. For example, we may share information with your consent or to service your accounts. Among our affiliates, we will limit information sharing to the extent required by California law.